FEB. 2.2005

CENTRAL FAX CENTER FEB 0 2 2005

Atty Docket No. 017516-006110US

PTO FAX NO.:

703-872-9306

ATTENTION:

Examiner MANTIS MERCADER, Eleni

Group Art Unit 3737

# OFFICIAL COMMUNICATION FOR THE PERSONAL ATTENTION OF **EXAMINER Mantis Mercader, Eleni**

# CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following documents in re Application of Funda, Janez

Application No. 09/595,186 filed on June 16, 2000

for System and Method for Augmentation of Endoscopic Surgery

are being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Number of pages being transmitted, including this page: 31

Dated: 2 February 2005

Documents Attached

1. SB/21 - Transmittal Form

2. SB/17 - Fee Transmittal (in duplicate)

3. Power of Attorney

4. Stmt. under 37 C.F.R. § 3.73(b)

5. Petition for Revival of Unintentionally Abandoned Application (2 pgs)

6. Copy of Office Action mailed on September 16, 2003 (11 pgs)

7. Amendment (12 pgs)

# PLEASE CONFIRM RECEIPT OF THIS PAPER BY **RETURN FACSIMILE AT (415) 576-0300**

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, CA 94111-3834 Telephone: 650-326-2400

Fax: 650-326-2422

60412992 VI

		PTO/SB/21 (09-04)	
	Application Number	09/595,188	
TRANSMITTAL	Filing Date June 16, 2000		
FORM	First Named Inventor	Funda, Janez	
<del>-</del>	Art Unit	3737	
(to be used for all correspondence after initial fill	Examiner Name	Mantis Mercader, Eleni	
,,	Attorney Docket Number	017516-006110US	
Total Number of Pages in This Submission			
	ENCLOSURES (Check all that apply	After Allowance Communication to TC	
Fee Transmittal Form Fee Attached  Amendment/Reply After Final Affidavits/declaration(s)  Extension of Time Request Express Abandonment Request Information Disclosure Statement  Certified Copy of Priority Document(s)  Reply to Missing Parts/ Incomplete Application	Drawing(s)  Licensing-related Papers  Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s)  Landscape Table on CD  Remarks The Commissioner is authority Account 20-1430.	Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): - Statement under 37 CFR 3.73(b) - Petition for Revival of Unintentional Abandoned Application under 37 CFR 1.137(b) - Copy of Office Action mailed 09/16/2003	
Reply to Missing Parts under 37 CFR 1.52 or 1.53	TURE OF APPLICANT, ATTORNEY,	APR I 1 270	
Firm Name Townsend and Town			
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Signature // /	B.L		
Printed name Mark D. Barrish			
Date 02/02/05	Reg. No. 36,443		
	CERTIFICATE OF TRANSMISSION/MA	ILING	
	eing facsimile transmitted to the Patent and Trade Fax No. (703) 872-9306		
	0		
Signature  Typed or printed name  Nancy Pizzo	may from		

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ach claim over 20 or, for Reissues, each claim over 20 and more than in the original patent  ach independent claims over 3 or, for Reissues, each independent claim more than in the original patent  200 100 360 180  Total Claims  Extra Claims  Extra Claims  Fee (S)  P = Paid (\$)  Multiple Dependent Claims  Fee Paid (\$)  P = Injahest number of total claims paid for, if greater than 20  Indep. Claims  APPLICATION SIZE FEE  If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(a).  Iotal Sheets  Extra Sheets  Number of each additional 50 or fraction thereof  for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(a).  Iotal Sheets  Extra Sheets  Number of each additional 50 or fraction thereof  fee Paid (\$)  Total Sheets  Extra Sheets  Number of each additional 50 or fraction thereof  Fee Paid (\$)  Fee P							F10/38/17 (12-04)
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For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)    Charge fee(s) indicated below			= :		· ·		
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Signature Registration No. (Attorney/Agent) 36,443 Telephone 650-326-2400  Name (Print/Type) Mark D. Barrish Date 02/02/2005	Other Petition to F					1.137(b)	1500
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I hereby certify that this correspondence is being Pacsimile transmitted to the U.S. Patent and Trademark Office at the following Fax No.: 1-703-872-9306

on 2 February 2005

TOWNSEND and TOWNSEND and CREW LLP

Docket No.: 017516-006110US Prior Ref Y0992-080D US5

Customer No. 20350

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

JANEZ FUNDA

Application No.: 09/595,186

Filed: June 16, 2000

For: SYSTEM AND METHOD FOR

AUGMENTATION OF ENDOSCOPIC

SURGERY

Confirmation No.: 6794

Examiner:

Mantis Mercaer, Eleni

Art Unit:

3737

PETITION FOR REVIVAL OF UNINTENTIONALLY ABANDONED APPLICATION UNDER 37 C.F.R. §

1.137(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants respectfully petition that the above-referenced application be revived.

This application was unintentionally abandoned for failure to respond to an

Office Action mailed from the U.S. Patent Office on September 16, 2003. A copy of the Office

Action is attached. That document required a response within ninety (90) days of the date of the

Office Action (e.g., by December 16, 2003) so as to avoid abandonment.

Pursuant to 37 C.F.R. § 1.137(b), Applicants provide the following to accompany this Petition:

- 1. The required reply to the outstanding Office Action is filed 202001 202433 29393128 herewith in the form of an Amendment.
- 2. Authorization is hereby granted for withdrawal of the Petition fee set forth in §1.17(m) of \$1,500.00; and

PATENT

Application No.: 09/595,186

Petition to Revive Unintentional Abandoned Application mailed on February 2, 2005 Page 2

3. The entire delay in filing the required Reply, from the due for the Reply until the filing of this Petition, was unintentional.

As Applicants have complied with all requirements of 37 C.F.R. § 1.137(b) for revival of this unintentionally abandoned application, Applicants request the application be revived and advanced to issuance.

#### CONCLUSION

If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned at .

Respectfully submitted,

Mark D. Barrish Reg. No. 36,443

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: (415) 576-0200 // Fax: (415) 576-0300

MDB:nap

Attachment: Copy of Office Action of 09/16/03

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### United "tates Patent and Trademark Office

United States Department of Commerce United States Patent and Trademark Office Address Commissioner for Patents PD. Bol 149 Abgrafa, Vigina 2213-1459

	APPLICATION NO.	FILMO DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
-	09/595,186	06/16/2000	Janez Funda	Y0992-080D	6794
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Please find below and/or attached an Office communication concerning this application or proceeding.

<b>)</b>	,	~· /
	Application No.	Applicant(s)
	09/585,186	FUNDA ET AL.
Office Action Summary	Examiner	Art Unit
	Runa S. Qaderi	3737
- The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the	correspondence address -
A SHORTENED STATUTORY PERIOD FOR REPL THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.1: after SIX (8) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply - If NO period for reply is specified above, the maximum statutory pariod v - Pailiure to reply within the set or extended period for reply with, by statuto, - Any reply exceived by the Office tater than three months after the making earned patent term adjustment. See \$7 CFR 1.704(b).  Status	38(a). In no event, however, may a reply be to within the statutery minimum of thirty (30) da will apply and will expire SIX (5) MONTHS from cause the application to become ABANDON)	mely filed  ys will be considered timely.  In the mailing date of this communication.  ED (25 U.S.C. 6 133).
1) Responsive to communication(s) filed on 04 f	December 2000 .	
2a) ☐ This action is FINAL. 2b) ☒ Th	is action Is non-final.	
3) Since this application is in condition for allows closed in accordance with the practice under Disposition of Claims	ince except for formal matters, p Ex parte Quayle, 1935 C.D. 11,	prosecution as to the merits is 453 O.G. 213.
4) Claim(s) 18-24 and 37-43 is/are pending in the	e application.	
4a) Of the above claim(s) 37-49 is/are withdraw	ın from consideration.	•
5) Claim(s) is/are allowed.		
6)⊠ Clalm(s) <u>18-24</u> is/are rejected.	•	
7) Claim(s) is/are objected to.		
8) Claim(s) are subject to restriction and/or Application Papers	election requirement.	
9) The specification is objected to by the Examiner	r.	
10) The drawing(s) filed on is/are: a) accep		miner.
Applicant may not request that any objection to the	drawing(s) be held in abeyance. §	See 37 CFR 1,85(a).
11) The proposed drawing correction filed on	is: a)□ approved b)□ disappr	oved by the Examiner.
If approved, corrected drawings are required in rep	ly to this Office action.	•
12) The oath or declaration is objected to by the Exe	aminer.	
Priority under 35 U.S.C. §§ 119 and 120		
13) Acknowledgment is made of a claim for foreign	priority under 35 U.S.C, § 119(a	a)-(d) or (f).
a) ☐ All b) ☐ Some * c) ☐ None of:	ı	•
1. Certified copies of the priority documents	have been received.	•
2. Certified copies of the priority documents	have been received in Applicat	lon No ,
3. Copies of the certified copies of the prior application from the International Bur * See the attached detailed Office action for a list of	eau (PCT Rule 17.2(a)),	<del>-</del>
14) Acknowledgment is made of a claim for domestic	• • • • • • • • • • • • • • • • • • • •	···
a) ☐ The translation of the foreign language prov 15)☑ Acknowledgment is made of a claim for domestic	visional application has been rec	eived.
Attaciament(s)		
1) Motice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-848) 3) Information Disclosure Statement(s) (PTO-1449) Paper No(s)	5) Notice of informal :	y (PTO-413) Paper No(s), Patent Application (PTO-152)

Art Unit: 3737

Page 2

#### DETAILED ACTION

#### Election/Restrictions

Restriction to one of the following inventions is required under 35 U.S.C. 121:

- Claims 18-24, drawn to a method of controlling the position of a surgical instrument inside a patient's body, classified in class 600, subclass 425.
- II. Claims 37-43, drawn to a system of positioning for two or more surgical instruments relative to a patient's body, classified in class 600, subclass 407.

The inventions are distinct, each from the other because of the following reasons:

Inventions I and II are unrelated. Inventions are unrelated if it can be shown that they are not disclosed as capable of use together and they have different modes of operation, different functions, or different effects (MPEP § 808.04, MPEP § 808.01). In the instant case the different inventions are directed to unrelated systems of positioning medical devices relative to a patient's body. Invention I is directed to providing anatomical feature images to position surgical instruments inside the body, while invention II is directed to a voice recognition system that transfers commands between operator and system to position surgical instrument relative to a patient's body. Because these inventions are distinct for the reasons given above and have acquired a separate status in the art as shown by their different classification, restriction for examination purposes as indicated is proper.

Art Unit: 3737

Page 3

During a telephone conversation with Louis J. Percello on September 2, 2003 a provisional election was made with traverse to prosecute the invention of Group I, claims 18-24. Affirmation of this election must be made by applicant in replying to this Office action. Claims 37-43 are withdrawn from further consideration by the examiner, 37 CFR 1.142(b), as being drawn to a non-elected invention.

#### Specification

The abstract of the disclosure is objected to because it is not a single paragraph and it exceeds 150 words limit. Correction is required. See MPEP § 608.01(b).

The examiner suggests the following arrangement for the layout of the specification. Specifically examiner notes that presently in the specification "Brief Description of Drawings" precedes the "Summary of the Invention".

The following guidelines illustrate the preferred layout for the specification of a utility application. These guidelines are suggested for the applicant's use.

#### Arrangement of the Specification

As provided in 37 CFR 1.77(b), the specification of a utility application should include the following sections in order. Each of the lettered items should appear in upper case, without underlining or bold type, as a section heading. If no text follows the section heading, the phrase "Not Applicable" should follow the section heading:

- (a) TITLE OF THE INVENTION.
- (b) CROSS-REFERENCE TO RELATED APPLICATIONS.
- (c) STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT.
- (d) INCORPORATION-BY-REFERENCE OF MATERIAL SUBMITTED ON A COMPACT DISC (See 37 CFR 1.52(e)(5) and MPEP 608.05. Computer program listings (37 CFR 1.96(c)), "Sequence Listings" (37 CFR 1.821(c)),

Art Unit: 3737

Page 4

and tables having more than 50 pages of text are permitted to be submitted on compact discs.) or

REFERENCE TO A "MICROFICHE APPENDIX" (See MPEP § 608.05(a). "Microfiche Appendices" were accepted by the Office until March 1, 2001.)

- (e) BACKGROUND OF THE INVENTION.
  - (1) Field of the Invention.
  - (2) Description of Related Art including information disclosed under 37 CFR 1.97 and 1.98.
- (f) BRIEF SUMMARY OF THE INVENTION.
- (g) BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWING(S).
- (h) DETAILED DESCRIPTION OF THE INVENTION.
- (i) CLAIM OR CLAIMS (commencing on a separate sheet).
- (j) ABSTRACT OF THE DISCLOSURE (commencing on a separate sheet).
- (k) SEQUENCE LISTING (See MPEP § 2424 and 37 CFR 1.821-1.825. A "Sequence Listing" is required on paper if the application discloses a nucleotide or amino acid sequence as defined in 37 CFR 1.821(a) and if the required "Sequence Listing" is not submitted as an electronic document on compact disc).

#### Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United
- (e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) and the Intellectual Property and High Technology Technical Amendments Act of 2002 do not apply when the reference is a U.S. patent resulting directly or indirectly from an international application filed before November 29, 2000.

Page 5

**Art Unit: 3737** 

Therefore, the prior art date of the reference is determined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

Claims 18 and 19 are rejected under 35 U.S.C. 102(b) as being clearly anticipated by Abela et al.

The system Abela et al. teaches an angioscopic method that can designate the 3 dimensional distance of a point within the body relative to the tip of the angioscope. Column 12 lines 44 through column 13 line 56 describes the positional information that is obtained by the angioscope. The angioscope is interpreted as the first surgical instrument having means of transmitting an image outside the body. Further the point or object or scene as recited by Taylor is interpreted as the anatomical feature. Finally the recitation to manipulation of the instrument, column 12 lines 64-67, of Taylor incorporates the positioning of the first surgical instrument. Figure 10 diagrams the image-processing scheme for determining the 3-D positional information.

Claims 18, 19, and 21 are rejected under 35 U.S.C. 102(e) as being anticipated by Taylor (Pat# 5,402,801)

The applied reference has a common assignee with the instant application.

Based upon the earlier effective U.S. filing date of the reference, it constitutes prior art under 35 U.S.C. 102(e). This rejection under 35 U.S.C. 102(e) might be overcome either by a showing under 37 CFR 1.132 that any invention disclosed but not claimed in

**Art Unit: 3737** 

Page 6

the reference was derived from the inventor of this application and is thus not the invention "by another," or by an appropriate showing under 37 CFR 1.131.

The apparatus of Taylor, specifically Fig. 10, teaches providing the method of controlling the position of a surgical instrument as claimed in 18, 9, and 21. An system 242 comprises a surgical first instrument 254 that has imaging transmitting 266 for satisfying the applicant's limitation to a first surgical instrument transmitting an image out of the patient's body. Column 21 lines 34-41 of Taylor teach that the surgeon can designate a particular anatomical feature within the image. The transmitting image is sent to a monitor for display and to a image processor and/or computer. As taught by the applicant claims the image processor and/or computer provides relative positional information of the surgical instrument to the patient's anatomy (specifically to an anatomical feature when designated). The positional information includes location and orientation therefore providing 3-D positional information as taught by applicant. The positional information is used to move the surgical instrument to desired locations and/or to provide the desired graphical display of the anatomical feature. Regarding claims 21, Taylor teaches that a second surgical instrument can further be incorporated into the system and it's position or reposition is satisfied by the same methods as that of the first surgical instrument.

**Art Unit: 3737** 

Page 7

#### Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 20 are 22-24 rejected under 35 U.S.C. 103(a) as being obvious over Taylor (Pat# 5,402,801).

The applied reference has a common assignment and one common inventor with the instant application. Based upon the earlier effective U.S. filing date of the reference, it constitutes prior art only under 35 U.S.C. 102(e). This rejection under 35 U.S.C. 103(a) might be overcome by: (1) a showing under 37 CFR 1.132 that any invention disclosed but not claimed in the reference was derived from the inventor of this application and is thus not an invention "by another"; (2) a showing of a date of invention for the claimed subject matter of the application which corresponds to subject matter disclosed but not claimed in the reference, prior to the effective U.S. filing date of the reference under 37 CFR 1.131; or (3) an oath or declaration under 37 CFR 1.130 stating that the application and reference are currently owned by the same party and that the inventor named in the application is the prior inventor under 35 U.S.C. 104, together with a terminal disclaimer in accordance with 37 CFR 1.321(c). For applications filed on or after November 29, 1999, this rejection might also be overcome by showing that the subject matter of the reference and the claimed invention were, at

Page 8

Application/Control Number: 09/595,186

the time the invention was made, owned by the same person or subject to an obligation Art Unit: 3737 of assignment to the same person. See MPEP § 706.02(I)(1) and § 706.02(I)(2).

The system of Taylor satisfies the methods of controlling the positioning a surgical instrument within a patient's body as claimed in 18, 19 and 21.

Regarding claim 20 Taylor teaches that a particular anatomical feature can be designated and further it's positional information relative to the surgical instrument can be obtained. The patent further teaches that this information can be used to modify the graphical display provided to the surgeon. Although the patent does not explicitly recite said graphical display as graphic's object superimposed on image of the anatomical feature it would have been obvious for a person or ordinary skill in the art to have provided such because it is a well known available displaying technique such that the operator can quickly and easily monitor the surgical environment. Further it is a well known expedient in the art of image guided surgery to provide for means and methods to better control and visualize the invasive procedure.

Regarding claims 22-24 Taylor teaches that surgical instrument can move in a θx, θy, and θz position. A desired anatomical feature can be designated the instrument can be located such that the camera scans the over an indicated path. The indicated path is interpreted as a vantage point as claimed by applicant. Further a feature "zoom" is taught by the patent such that the surgical instrument moved along an axis of view as claimed by applicant. Regarding claims 22-23 Taylor does not teach providing a constant distance between the surgical instrument and anatomical feature. Given the movement capabilities of the system of Taylor it would have been obvious to a person

Page 9

Application/Control Number: 09/595,186

Art Unit: 3737

or ordinary skill in the art to provide the step of maintaining a constant distance. Further the system and method of Taylor does not preclude from providing movement of the surgical instrument relative to the anatomical feature in one axis or plurality of axis. This is further supported by the recitation to a "surgical path" of Taylor. A "surgical path" incorporated the path as claimed by applicant. Regarding claim 24 Taylor does not teach the incremental movement of the "zoom" function of the surgical instrument. It is obvious to one or ordinary skill in the art at the time the invention was made to provide any available motion mechanism along the axis of view because the end result of "zoom" is satisfied. The method moving incrementally to a desired zoom or moving directly to the desired zoom provided the same image.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Runa S. Qaderi whose telephone number is (703) 308-8155. The examiner can normally be reached on Mon-Fri 8:00-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Dennis W. Ruhl can be reached on (703) 308-2262. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0858.

RSQ

Notice of References Cited

Application/Control No. 09/595,186	Applicant(s)/i Reexamination	חפ
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